

# Lecture 6: **Some recent progress on regular and chiral polytopes**

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## **This final lecture will have three parts:**

- A summary of some recent research on **regular polytopes**
- A summary of some recent research on **chiral polytopes**
- Finding the **smallest finite regular polytopes of all ranks**

## Constructions for regular polytopes

- C-group permutation representation graphs (**CPR graphs**)

These used (as a tool) by Daniel Pellicer to construct regular polyhedra with **alternating groups**  $A_n$  as the automorphism groups (2008), and regular polytopes with **given facets** and prescribed **(even) last entry of the Schläfli symbol** (2010).

- The **mix** of two polytopes

Egon Schulte and Peter McMullen (2002) introduced a new group-theoretic method for constructing a new regular polytope from two given regular polytopes  $\mathcal{P}$  and  $\mathcal{Q}$ , called the **'mix' of  $\mathcal{P}$  and  $\mathcal{Q}$** .

- Polytopes of **given type**

For example, Dimitri Leemans and Michael Hartley (2009) constructed various regular 4-polytopes with **type [5, 3, 5]**.

Similarly, many families of examples (of type [3, 5, 3] etc.) arise from quotients of groups associated with **hyperbolic 3-manifolds** of small volume (by Lorimer, Jones, Conder, Torstensson et al, 1990s–).

- **Amalgamation** of polytopes

Michael Hartley constructed regular polytopes with **given facets and given vertex-figures**, in some special cases (2010).

## Collecting small examples of regular polytopes

- Michael Hartley has created a **web-based atlas** of regular polytopes with **automorphism group of order at most 2000**, except those with autom group of order 512, 1024 or 1536 — see <http://www.abstract-polytopes.com/atlas> for this.
- Dimitri Leemans and Laurence Vauthier have found all **regular polytopes whose automorphism group  $G$  is an almost simple group** with  $S \leq G \leq \text{Aut}(S)$  for some simple group  $S$  of order less than 900,000 — for the complete list, see <http://cso.ulb.ac.be/dleemans/polytopes>.

Both of these two atlases were first published in 2006.

## Regular polytopes with given group

- Dimitri Leemans and Laurence Vauthier proved (in 2006) that the group  $\text{PSL}(2, q)$  cannot be the automorphism group of a regular  $n$ -polytope for any  $n \geq 5$ .
- Dimitri Leemans and Egon Schulte determined all regular 4-polytopes with automorphism group  $\text{PSL}(2, q)$  or  $\text{PGL}(2, q)$  (in 2007 and 2009).
- Daniel Pellicer (2008) used CPR graphs to construct regular polyhedra with automorphism group  $A_n$  (and other groups related to  $A_n$  and  $S_n$ ), and Dimitri Leemans, Maria Elisa Fernandes and Mark Mixer have extended this (2011).
- Barry Monson and Egon Schulte (2009) used modular reduction techniques to construct new regular 4-polytopes of

hyperbolic types  $\{3, 5, 3\}$  and  $\{5, 3, 5\}$  with a **finite orthogonal group** as automorphism group.

- Peter Brooksbank and Deborah Vicinsky (2010) showed that regular polytopes that have a **3-dimensional classical group** as automorphism group come from orthogonal groups.
- Ann Kiefer and Dimitri Leemans (2010) determined the regular polyhedra whose automorphism group is a **Suzuki simple group  $Sz(q)$** .
- Dimitri Leemans and Maria Elisa Fernandes (2011) proved that for every  $n > 3$ , the **symmetric group  $S_n$**  is the automorphism group of some regular  $r$ -polytope, for each  $r$  such that  $3 \leq r \leq n-1$ , and hence **for any given  $r \geq 3$ , all but finitely many  $S_n$  are the automorphism group of a regular  $r$ -polytope.**

## Geometric and other considerations

- Barry Monson and Egon Schulte wrote a series of five papers (2004–2009) on reflection groups and polytopes over finite fields, producing (for example) a **catalogue of modular polytopes of small rank that are spherical or Euclidean**.
- Peter McMullen (2004) classified all regular  $n$ -polytopes (and apeirotopes) that are **faithfully realisable in a Euclidean space** of minimum dimension  $n$  (resp.  $n - 1$ ).
- Peter McMullen used similar techniques in order to classify **4-dimensional finite regular polyhedra** (2007), and regular apeirotopes of dimension 4 (2009).



- Michael Hartley and Gordon Williams (2010) used methods for finding quotients of regular polytopes to obtain **representations of the 14 sporadic Archimedean polyhedra**.
- Isabel Hubbard (2010) investigated **'two-orbit' polytopes**, determining when the automorphism group is transitive on the faces of each rank, and used this to completely characterise the groups of two-orbit polyhedra (3-polytopes).
- Mark Mixer (PhD) investigated the **layer graphs** (showing incidence between two layers) of regular polytopes, esp. the medial layer graph of regular  $n$ -polytopes for even  $n$ .

## Properties of **chiral polytopes**

- Asia Weiss and Isabel Hubard (2005) proved that **every self-dual chiral polytope of odd rank admits a polarity**, but that this is not true for even ranks.
- Asia Weiss, Egon Schulte and Isabel Hubard (2006) then showed how to construct **chiral polyhedra** from improperly self-dual chiral polytopes of rank 4, and **regular polyhedra** from properly self-dual ones.

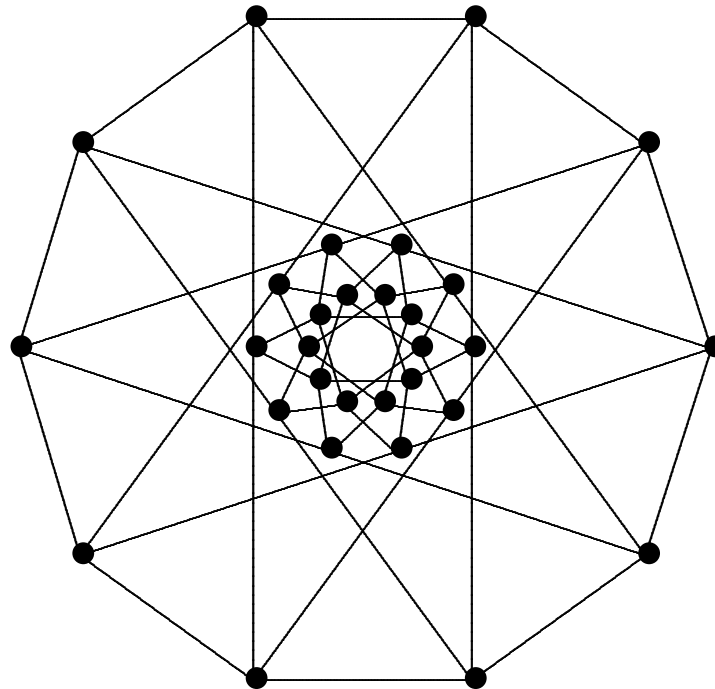
## Construction of chiral polytopes

- Isabel Hubbard, Marston Conder and Tomo Pisanski (2008) used computational group-theoretic methods to find subgroups of small index in Coxeter groups that are normal in the orientation-preserving subgroup but not in the group itself. This produced the smallest examples of finite chiral 3- and 4-polytopes, and also the first known finite chiral 5-polytopes, in both the self-dual and non-self-dual cases.
- Alice Devillers and Marston Conder (2009) found the first known finite chiral 6-, 7- and 8-polytopes, by group-theoretic construction for types  $[3, 3, \dots, 3, k]$ .
- Daniel Pellicer (2010) devised a construction for chiral polytopes with prescribed regular facets, and used this to prove the existence of chiral  $d$ -polytopes, for all  $d \geq 3$ .

## Smallest (known) chiral polytopes

Rank $n$	Properly self-dual	Improperly self-dual	Non- self-dual
3	Type $\{7, 7\}$ $ \text{Aut}(\mathcal{P})  = 56$	Type $\{4, 4\}$ $ \text{Aut}(\mathcal{P})  = 20$	Type $\{3, 6\}$ $ \text{Aut}(\mathcal{P})  = 42$
4	Type $\{4, 4, 4\}$ $ \text{Aut}(\mathcal{P})  = 120$	Type $\{4, 4, 4\}$ $ \text{Aut}(\mathcal{P})  = 400$	Type $\{3, 4, 4\}$ $ \text{Aut}(\mathcal{P})  = 120$
5	Type $\{3, 8, 8, 3\}$ $ \text{Aut}(\mathcal{P})  = 20!/2$	Type $\{3, 4, 4, 3\}$ $ \text{Aut}(\mathcal{P})  = 720$	Type $\{3, 4, 4, 6\}$ $ \text{Aut}(\mathcal{P})  = 1440$

The *medial layer graph* (showing incidences between 1- and 2-faces) of the *smallest PSD chiral 4-polytope* is interesting, and can be defined in terms of a 1-factorisation of  $K_6$ :



## The smallest regular polytopes in all ranks

Daniel Pellicer asked this question at SIGMAP in Oaxaca, in June 2010:

For each  $n \geq 3$ , what are the regular  $n$ -polytopes with the smallest numbers of flags? Call the smallest number  $M_n$ .

By regularity, this number  $M_n$  is the order of the smallest good quotient of an  $n$ -generator Coxeter group  $[k_1, \dots, k_{n-1}]$  — with ‘good’ meaning that the orders of the generators  $\rho_i$  and their pairwise products  $\rho_i \rho_j$  are preserved, and the intersection condition holds.

Also we may assume that  $k_i > 2$  for all  $i$  (for otherwise the question is not very interesting).

## A lower bound for the number of flags of a regular $n$ -polytope

Suppose  $\mathcal{P}$  is a regular  $n$ -polytope, of type  $\{k_1, \dots, k_{n-1}\}$ , with automorphism group  $G = \langle \rho_0, \rho_1, \dots, \rho_{n-1} \rangle$ .

Then  $H = \langle \rho_0, \rho_1, \dots, \rho_{n-2} \rangle$  is the automorphism group of a regular  $(n-1)$ -polytope (a facet of  $\mathcal{P}$ ), and  $D = \langle \rho_{n-2}, \rho_{n-1} \rangle$  is dihedral of order  $2k_{n-1}$ , with  $H \cap D = \langle \rho_{n-1} \rangle$  of order 2.

By the intersection property,

$$|G| \geq |HD| = |H||D|/|H \cap D| = |H|(2k_{n-1})/2 = |H|k_{n-1},$$

and by induction,  $|\text{Aut}(\mathcal{P})| \geq 2k_1k_2 \dots k_{n-1}$ .

If this lower bound is attained, we will say  $\mathcal{P}$  is **tight**.

## Small ranks

These results achievable by computation (using MAGMA):

Rank $n$	$(n+1)!$	Min # flags $M_n$	Types of polytopes achieving minimum
2	6	6	{3}
3	24	24	{3, 3}, {3, 4}, {4, 3}
4	120	96	{4, 3, 4}
5	720	432	{3, 6, 3, 4}, {4, 3, 6, 3}
6	5040	1728	{4, 3, 6, 3, 4}

Note that all but one of these examples are ‘tight’, but surprisingly(?), the minimum type is not always  $\{3, 3, \dots, 3\}$ .

Is there a **pattern** evident here? Are **extensions** possible?



## Two new families

MAGMA computations give also **defining presentations for the automorphism groups** of small examples. Patterns in these give rise to constructions for **two infinite families**:

- A regular  $n$ -polytope of type  $\{4, 3, 6, 3, 6, 3, 6, \dots, 3, 6, 3\}$ ,  
with  $8 \cdot 3^{(n-1)/2} \cdot 6^{(n-3)/2}$  flags, for every **odd**  $n > 2$
- A regular  $n$ -polytope of type  $\{4, 3, 6, 3, 6, 3, 6, \dots, 3, 6, 3, 4\}$ ,  
with  $32 \cdot 3^{(n-2)/2} \cdot 6^{(n-4)/2}$  flags, for every **even**  $n > 2$ .

$$\text{Thus } M_n \leq \begin{cases} 24 \cdot 18^{(n-3)/2} & \text{for } n \text{ odd} \\ 96 \cdot 18^{(n-4)/2} & \text{for } n \text{ even.} \end{cases}$$

## Are these the best?

All the polytopes constructed in the families above (of types  $\{4, 3, 6, 3, 6, \dots, 3, 6, 3\}$  and  $\{4, 3, 6, 3, 6, \dots, 3, 6, 3, 4\}$ ) are tight.

Can we prove these give the smallest numbers of flags for all  $n$ ? or are there too many '6's in the type?

In the course of trying to prove the above were the best, another family emerged ...

## Tight regular polytopes of type $\{4,4,\dots,4\}$

There exist regular polytopes of types  $\{4,4\}$ ,  $\{4,4,4\}$  and  $\{4,4,4,4\}$ , with 32, 128 and 512 flags. Closer inspection of these (and their automorphism groups) gives a new family:

For every  $n > 2$ , take the Coxeter group  $[4, n-1, 4]$ , with  $n$  involutory generators  $\rho_0, \rho_1, \dots, \rho_{n-1}$ , and add relations of the form  $[(\rho_{i-1}\rho_i)^2, \rho_j] = 1$  to make the squares  $(\rho_{i-1}\rho_i)^2$  all central. This gives a group  $G$  whose centre  $Z(G)$  is generated by the  $n - 1$  involutions  $(\rho_{i-1}\rho_i)^2$ .

In particular,  $Z(G)$  and  $G/Z(G)$  are elementary abelian, of orders  $2^{n-1}$  and  $2^n$ , so  $G$  has order  $2^{2n-1} = 2 \cdot 4^{n-1}$ . Also the intersection property holds, so  $G$  is the automorphism group of a tight regular  $n$ -polytope of type  $\{4, 4, \dots, 4\}$ .

## Improved upper bounds on $M_n$

Tight polytopes of type  $\{4, \dots, 4\}$  give  $M_n \leq 2 \cdot 4^{n-1}$  for all  $n$ .

This is better than our earlier upper bound of  $24 \cdot 18^{(n-3)/2}$  for  $n$  odd, and  $96 \cdot 18^{(n-4)/2}$  for  $n$  even, whenever  $n > 8$ .

**Question:** Is the bound  $M_n \leq 2 \cdot 4^{n-1}$  sharp for all  $n > 8$ ?

**Question:** We know  $M_3$  to  $M_6$ . What are  $M_7$  and  $M_8$ ?

## Key observation

Suppose  $\mathcal{P}$  is a regular  $n$ -polytope, of type  $\{k_1, \dots, k_{n-1}\}$ .

Then each of the sections of  $\mathcal{P}$  is also a regular polytope.

In fact, if  $A$  and  $B$  are  $i$ - and  $j$ - faces of  $\mathcal{P}$  with  $A \leq B$ , then the section  $[A, B] = \{F \in \mathcal{P} : A \leq F \leq B\}$  is a regular  $(j-i-1)$ -polytope with automorphism group  $\langle \rho_{i+1}, \dots, \rho_{j-1} \rangle$ .

Next, for any  $i$ , let  $L_i = \langle \rho_0, \dots, \rho_i \rangle$  and  $R_i = \langle \rho_{i-1}, \dots, \rho_{n-1} \rangle$ .

By the intersection property,  $L_i \cap R_i = \langle \rho_{i-1}, \rho_i \rangle \cong D_{k_i}$  and

so  $|\text{Aut}(\mathcal{P})| \geq |L_i R_i| = |L_i| |R_i| / |L_i \cap R_i| = |L_i| |R_i| / |D_{k_i}|$ .

It follows that  $M_n \geq \frac{M_{i+1} M_{n-i+1}}{2k_i}$  for  $1 \leq i \leq n-1$ .

As  $L_i \cap R_{i+1} = \langle \rho_i \rangle$ , also  $M_n \geq \frac{M_{i+1} M_{n-i}}{2}$  for  $1 \leq i \leq n-2$ .

## Application

Suppose  $M_n = 2 \cdot 4^{n-1}$  for all  $n$  in the range  $i < n \leq 2i$ .

Then

$$M_{2i+1} \geq \frac{M_{i+1}M_{i+1}}{2} = \frac{(2 \cdot 4^i)^2}{2} = 2 \cdot 4^{2i}$$

and similarly

$$M_{2i+2} \geq \frac{M_{i+1}M_{i+2}}{2} = \frac{(2 \cdot 4^i)(2 \cdot 4^{i+1})}{2} = 2 \cdot 4^{2i+1}$$

and so  $M_n = 2 \cdot 4^{n-1}$  for all  $n$  in the range  $i < n \leq 2i + 2$ .

This gives a possible **basis for induction**. We just have to find a starting value of  $i$  ...

## Finding $M_n$ for small $n \geq 7$

With the help of the [LowIndexNormalSubgroups](#) algorithm in MAGMA (applied to Coxeter groups), we can find:

- all regular 3-polytopes with at most 100 flags
- all regular 4-polytopes with at most 300 flags
- all regular 5-polytopes with at most 900 flags
- all regular 6-polytopes with at most 2700 flags.

Then multiple applications of the intersection property show:

- the only regular 7-polytopes with fewer than  $2 \cdot 4^6$  flags have type  $\{4, 3, 6, 3, 6, 3\}$  or  $\{3, 6, 3, 6, 3, 4\}$  (and 7776 flags)
- the only regular 8-polytope with fewer than  $2 \cdot 4^7$  flags has type  $\{4, 3, 6, 3, 6, 3, 4\}$  (and 31104 flags), and
- for  $9 \leq n \leq 16$ , the smallest regular  $n$ -polytope is a tight one of type  $\{4, 4, \dots, 4\}$  (with  $2 \cdot 4^{n-1}$  flags).

**Example:**  $n = 9$  (to show what happens)

Suppose there is a regular 9-polytope of type  $\{k_1, k_2, \dots, k_8\}$  with fewer than  $2 \cdot 4^8 = 131072$  flags.

By taking the dual if necessary, we can assume that some 5-face  $F$  has fewer than  $2 \cdot 4^4 = 512$  flags. Then  $F$  must have exactly 432 flags and have type  $\{3, 6, 3, 4\}$  or  $\{4, 3, 6, 3\}$ , and its co-5-face must have at most 606 flags, with its type  $\{k_5, k_6, k_7, k_8\}$  coming from a known list.

Then the given 9-polytope has type  $\{3, 6, 3, 4, k_5, k_6, k_7, k_8\}$  or  $\{4, 3, 6, 3, k_5, k_6, k_7, k_8\}$ , but from our lists of small regular 6-polytopes we find no 6-section of type  $\{3, 4, k_5, k_6, k_7\}$  or  $\{6, 3, k_5, k_6, k_7\}$  small enough to give fewer than  $2 \cdot 4^8$  flags.



## Theorem

For  $n \geq 9$ , the smallest regular  $n$ -polytopes are the tight polytopes of type  $\{4, n-1, 4\}$ , with  $2 \cdot 4^{n-1}$  flags.

For  $n \leq 8$ , the smallest have the following parameters:

$n$	$M_n$	Type(s)
2	6	{3}
3	24	{3, 3}, {3, 4} (and dual {4, 3})
4	96	{4, 3, 4}
5	432	{3, 6, 3, 4} (and dual {4, 3, 6, 3})
6	1728	{4, 3, 6, 3, 4}
7	7776	{3, 6, 3, 6, 3, 4} (and dual {4, 3, 6, 3, 6, 3})
8	31104	{4, 3, 6, 3, 6, 3, 4}.

## Regular polytopes with the fewest elements

The same kind of approach can be taken to find for all  $n$  the regular  $n$ -polytopes with the **smallest numbers of elements**.

Let  $E_n$  be the smallest such number, for given  $n \geq 1$ , and suppose that this is attained by the regular  $n$ -polytope  $\mathcal{P}$ . Also suppose that  $\mathcal{P}$  has  $f_j$  distinct  $j$ -faces, for  $0 \leq j < n$ .

Then  $1 + f_0 + f_1 + \cdots + f_{n-3} + 1$  is at least the number of elements of an  $(n-2)$ -face of  $\mathcal{P}$ , which is at least  $E_{n-2}$ , so

$$E_n = 1 + f_0 + f_1 + \cdots + f_{n-2} + f_{n-1} + 1 \geq E_{n-2} + f_{n-2} + f_{n-1}.$$

Since  $f_{n-1} \geq k_{n-1}$  and  $f_{n-2} \geq k_{n-2}$ , **again this gives a basis for induction ...**

**Theorem:** For all  $n \geq 9$ , the smallest number of elements in a regular  $n$ -polytope is  $8n-6$ , and this is achieved by tight polytopes of type  $\{4, n-1, 4\}$ .

For  $n \leq 8$ , the fewest elements are achieved as follows:

$n$	$E_n$	Type(s)
2	8	$\{3\}$
3	15	$\{3, 4\}$ (and dual $\{4, 3\}$ )
4	22	$\{4, 3, 4\}$
5	33	$\{3, 6, 3, 4\}$ (and dual $\{4, 3, 6, 3\}$ )
6	40	$\{4, 3, 6, 3, 4\}$
7	50	$\{4, 4, 4, 4, 4, 4\}$
8	58	$\{4, 3, 6, 3, 6, 3, 4\}$ and $\{4, 4, 4, 4, 4, 4, 4\}$ .

Similarly ...

**Theorem:** For all  $n \geq 7$ , the smallest number of direct incidences in a regular  $n$ -polytope is  $32n - 56$ , and this is achieved by tight polytopes of type  $\{4, n-1, 4\}$ .

For  $n \leq 6$ , the fewest direct incidences are as follows:

$n$	$L_n$	Type(s)
2	12	{3}
3	31	{3, 4} (and dual {4, 3})
4	56	{4, 3, 4}
5	100	{3, 6, 3, 4} (and dual {4, 3, 6, 3})
6	131	{4, 3, 6, 3, 4}.