

Elementary t.d.l.c. second countable groups and applications

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Examples

The following are second countable

$$Z_p$$
, Q_p , $(Z=3Z)^N$
Aut(T) for T a locally nite tree

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The following are second countable

- $\mathbb{Z}_{p}, \mathbb{Q}_{p}, (\mathbb{Z}/3\mathbb{Z})^{\mathbb{N}}$
- $Aut(\mathcal{T})$ for \mathcal{T} a locally finite tree
- $GL_n(\mathbb{Q}_p)$
- Countable discrete groups

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The following are second countable

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- $Aut(\mathcal{T})$ for \mathcal{T} a locally finite tree
- $GL_n(\mathbb{Q}_p)$
- Countable discrete groups
- Compactly generated t.d.l.c. groups modulo a compact normal subgroup.



Observation

In the general study of t.d.l.c. second countable (s.c.) groups, groups "built" from profinite and discrete groups frequently arise.

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Observation

In the general study of t.d.l.c. second countable (s.c.) groups, groups "built" from profinite and discrete groups frequently arise.

Profinite groups are inverse limits of finite groups; these are exactly the compact t.d.l.c.s.c. groups.



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• The non-trivial t.d.l.c.s.c. group with a dense conjugacy class (Akin, Glasner, Weiss)



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- The compactly generated uniscalar t.d.l.c.s.c. group without compact open normal subgroup (Bhattacharjee, Macpherson)



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- The compactly generated uniscalar t.d.l.c.s.c. group without compact open normal subgroup (Bhattacharjee, Macpherson)
- The non-discrete topologically simple t.d.l.c.s.c group with open abelian subgroup. (Willis)



Various groups may be characterized in this way:

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Various groups may be characterized in this way: A group is *locally elliptic* if every finite set generates a relatively compact subgroup.

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compact subgroup.

Theorem (Platonov)

A t.d.l.c.s.c. group is locally elliptic if and only if it is a countable increasing union of compact open subgroups.

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A t.d.l.c.s.c. group is *SIN* if it has a basis at 1 of compact open normal subgroups.

Theorem (Caprace, Monod)

A compactly generated t.d.l.c.s.c. group is residually discrete if and only if it is a SIN group.



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Theorem (Caprace, Monod)

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If G is a non-trivial compactly generated t.d.l.c. group, then one of the following hold:

- (i) G has an infinite discrete normal subgroup.
- (ii) G has a non-trivial compact normal subgroup.
- (iii) G has exactly $0 < n < \infty$ non-trivial minimal normal subgroups.



Conclusion

T.d.l.c.s.c. groups built from profinite and discrete groups form a rich class and, furthermore,

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Conclusion

T.d.l.c.s.c. groups built from profinite and discrete groups form a rich class and, furthermore, seem to play an essential role in the structure of t.d.l.c.s.c. groups in general.

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The class of *elementary groups* is the smallest class, \mathscr{E} , of t.d.l.c.s.c. groups such that

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The class of *elementary groups* is the smallest class, \mathscr{E} , of t.d.l.c.s.c. groups such that

- (i) All countable discrete and second countable profinite groups belong to *E*.
- (ii) \mathscr{E} is closed under group extensions. I.e. if $H \trianglelefteq G$ and $H, G/H \in \mathscr{E}$, then $G \in \mathscr{E}$.
- (iii) *&* is closed under *countable increasing unions*.



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- (ii) \mathscr{E} is closed under group extensions. I.e. if $H \trianglelefteq G$ and $H, G/H \in \mathscr{E}$, then $G \in \mathscr{E}$.
- (iii) \mathscr{E} is closed under *countable increasing unions*. I.e. if *G* is t.d.l.c.s.c. and $G = \bigcup_{i \in \omega} H_i$ with $(H_i)_{i \in \omega}$ an \subseteq -increasing sequence of open subgroups of *G* each in \mathscr{E} , then $G \in \mathscr{E}$.

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- (iii) \mathscr{E} is closed under *countable increasing unions*. I.e. if *G* is t.d.l.c.s.c. and $G = \bigcup_{i \in \omega} H_i$ with $(H_i)_{i \in \omega}$ an \subseteq -increasing sequence of open subgroups of *G* each in \mathscr{E} , then $G \in \mathscr{E}$.

Remark

There is an ordinal rank on \mathscr{E} . Profinite and discrete groups are assigned rank zero.

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(ii) T.d.I.c.s.c. abelian groups; more generally, t.d.I.c.s.c. SIN groups

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- (i) T.d.l.c.s.c. groups which are locally elliptic (Platonov)
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Non-examples

Any group in \mathscr{S} , the collection of non-discrete compactly generated t.d.l.c. groups which are topologically simple, is non-elementary.



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Non-examples

Any group in \mathscr{S} , the collection of non-discrete compactly generated t.d.l.c. groups which are topologically simple, is non-elementary. E.g. $Aut(\mathcal{T}_3)^+$ or $PSL_3(\mathbb{Q}_p)$.

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& enjoys the following permanence properties:



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 - (i) If $G \in \mathscr{E}$, H is a t.d.l.c.s.c. group, and $\psi : H \to G$ is a continuous homomorphism, then $H/ker(\psi) \in \mathscr{E}$.

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 - (ii) If $G \in \mathscr{E}$ and $L \leq G$ is a closed normal subgroup, then $G/L \in \mathscr{E}$.
 - (iii) If G is residually elementary, then $G \in \mathscr{E}$. In particular, \mathscr{E} is closed under inverse limits.

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- So H is residually discrete.

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- So *H* is residually discrete. By results of [1], *H* is elementary.

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- So *H* is residually discrete. By results of [1], *H* is elementary.
- Induction on *rk*(*G*) finishes the proof.



From the closure properties we obtain:

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Proposition Let *G* be a t.d.l.c.s.c. group. (i) There is a unique maximal closed normal subgroup which is elementary, denoted *Rad*_e(*G*).



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Proposition Let *G* be a t.d.l.c.s.c. group.

- (i) There is a unique maximal closed normal subgroup which is elementary, denoted *Rad*_𝔅(*G*).
- (ii) There is a unique minimal closed normal subgroup whose quotient is elementary, denoted $Res_{\mathscr{E}}(G)$.





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$\{1\}\leqslant C\leqslant Q\leqslant G$

such that

(i) C and G/Q are elementary.

(ii) Q/C has no non-trivial elementary normal subgroups.



Let G be a t.d.l.c.s.c. group. Then there is a sequence of closed characteristic subgroups

$\{1\} \leqslant \textit{C} \leqslant \textit{Q} \leqslant \textit{G}$

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- (iii) Q/C has no non-trivial elementary quotients.

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Proof.

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We may take either (1) $Q := Res_{\mathscr{E}}(G)$ and $C := Rad_{\mathscr{E}}(Q)$ or



Let G be a t.d.l.c.s.c. group. Then there is a sequence of closed characteristic subgroups

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(iii) Q/C has no non-trivial elementary quotients.

Proof.

We may take either (1) $Q := Res_{\mathscr{E}}(G)$ and $C := Rad_{\mathscr{E}}(Q)$ or (2) $C := Rad_{\mathscr{E}}(G)$ and $Q := \pi^{-1}(Res_{\mathscr{E}}(G/C))$.

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elementary quotients.

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A t.d.l.c.s.c. group is called *elementary-free* if it has no non-trivial elementary normal subgroups and no non-trivial elementary quotients.

Remark

(i) By the previous theorem, every t.d.l.c.s.c. group admits a elementary-free normal section, Q/C.



A t.d.l.c.s.c. group is called *elementary-free* if it has no non-trivial elementary normal subgroups and no non-trivial elementary quotients.

Remark

- (i) By the previous theorem, every t.d.l.c.s.c. group admits a elementary-free normal section, Q/C.
- (ii) Even in the case G is compactly generated, Q/C need not be compactly generated.


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Remark

- (i) By the previous theorem, every t.d.l.c.s.c. group admits a elementary-free normal section, Q/C.
- (ii) Even in the case G is compactly generated, Q/C need not be compactly generated.

Theorem (W.)

If G is an elementary-free t.d.l.c.s.c. group, then $QZ(G) = \{1\}$ and the only locally normal abelian subgroup of G is $\{1\}$.



An example

Consider $GL_3(\mathbb{Q}_p)$.



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An example

Consider $GL_3(\mathbb{Q}_p)$. One can show

 $\cdot \ \mathcal{Q} = \textit{Res}_{\mathscr{E}}(\textit{GL}_3(\mathbb{Q}_p)) = \textit{SL}_3(\mathbb{Q}_p)$

$$\cdot \ C = Rad_{\mathscr{E}}(Q) = Z(SL_3(\mathbb{Q}_p))$$

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- $\cdot \ \mathcal{Q} = \textit{Res}_{\mathscr{E}}(\textit{GL}_3(\mathbb{Q}_p)) = \textit{SL}_3(\mathbb{Q}_p)$
- $\cdot \ C = Rad_{\mathscr{E}}(Q) = Z(SL_3(\mathbb{Q}_p))$

So the series becomes:

$$\{1\} \leqslant Z(SL_3(\mathbb{Q}_p)) \leqslant SL_3(\mathbb{Q}_p) \leqslant GL_3(\mathbb{Q}_p)$$

Application 1: Decompositions

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Application 1: Decompositions

Fact

A connected locally compact group is pro-Lie. Further, connected Lie groups are solvable by semi-simple.

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Application 1: Decompositions

Fact

A connected locally compact group is pro-Lie. Further, connected Lie groups are solvable by semi-simple.

Question

Can every t.d.l.c.s.c. group be "decomposed" into "basic" groups?

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Construction operations: Group extension and countable increasing union



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Construction operations: Group extension and countable increasing union

Question

Can every t.d.l.c.s.c. group be decomposed into elementary groups and topologically simple t.d.l.c.s.c. groups via group extension and countable increasing union?



Construction operations: Group extension and countable increasing union

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Can every t.d.l.c.s.c. group be decomposed into elementary groups and topologically simple t.d.l.c.s.c. groups via group extension and countable increasing union?

We cannot omit the countable increasing union operation even for compactly generated groups.



Construction operations: Group extension and countable increasing union

Question

Can every t.d.l.c.s.c. group be decomposed into elementary groups and topologically simple t.d.l.c.s.c. groups via group extension and countable increasing union?

We cannot omit the countable increasing union operation even for compactly generated groups. E.g. consider $\bigoplus_{i \in \mathbb{Z}} (PSL_3(\mathbb{Q}_p), U) \rtimes \mathbb{Z}$ where $\bigoplus_{i \in \mathbb{Z}} (PSL_3(\mathbb{Q}_p), U)$ is a local direct product.

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Question

Do l.c.s.c. *p*-adic Lie groups admit a decomposition into elementary groups and topologically simple t.d.l.c.s.c. groups?

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Answer

Yes. Indeed, for a slightly bigger class.

Elementary t.d.l.c. second countable groups and applications



Suppose G is a l.c.s.c. p-adic Lie group. Then, there is a sequence of closed characteristic subgroups $\{1\} \leq C \leq S \leq G$ such that



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- (i) C is elementary,
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- (i) C is elementary,
- (ii) $S/C \simeq N_1 \times \cdots \times N_k$ with the N_i compactly generated and topologically simple, and
- (iii) G/S is finite.

Motivation	Elementary groups	Application 1	Application 2	Questions	References

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Suppose *G* is a l.c.s.c. *p*-adic Lie group. If *G* is elementary-free, then *G* has $0 < k < \infty$ many non-trivial minimal normal subgroups.





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We adapt the following result of Caprace and Monod:

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We adapt the following result of Caprace and Monod: in a compactly generated t.d.l.c. group *G* there is a compact $K \trianglelefteq G$ such that

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We adapt the following result of Caprace and Monod: in a compactly generated t.d.l.c. group *G* there is a compact $K \leq G$ such that every filtering family of non-discrete closed normal subgroups of *G*/*K* has non-trivial intersection.

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Let $\mathcal{M}(G)$ denote the collection of minimal non-trivial normal subgroups given by **lemma (1)**.

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Suppose *G* is a l.c.s.c. *p*-adic Lie group. If *G* is elementary-free, then $\mathcal{M}(G)$ consists of topologically simple groups.



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Lemma (2)

Suppose *G* is a l.c.s.c. *p*-adic Lie group. If *G* is elementary-free, then $\mathcal{M}(G)$ consists of topologically simple groups.

This follows from **lemma (1)** since $Rad_{\mathscr{E}}$ and $Res_{\mathscr{E}}$ are characteristic subgroups.



Let *G* be an elementary-free l.c.s.c. *p*-adic Lie group.

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Introduction Motivation Elementary groups Application 1 Application 2 Questions References

Let *G* be an elementary-free l.c.s.c. *p*-adic Lie group. By **lemma (1)** and **lemma (2)**, $\mathcal{M}(G)$ consists of non-discrete topologically simple groups.

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Application 1

$$N_{min}(G) := cl(\langle M \mid M \in \mathcal{M}(G) \rangle)$$

Fact ([2])

If G is a non-elementary topologically simple p-adic Lie group, then $G = S(\mathbb{Q}_p)^+$ for S an almost simple isotropic \mathbb{Q}_p -algebraic group.

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Fact ([2])

If G is a non-elementary topologically simple p-adic Lie group, then $G = S(\mathbb{Q}_p)^+$ for S an almost simple isotropic \mathbb{Q}_p -algebraic group.

By the fact and results in algebraic group theory, $N_{min}(G) \simeq \prod_{N \in \mathcal{M}(G)} N$ and each $N \in \mathcal{M}(G)$ is compactly generated.

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• A similar argument gives G/S finite.



Let $G := SL_3(\mathbb{Q}_p)$ for some fixed prime p.





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An example

Let $G := SL_3(\mathbb{Q}_p)$ for some fixed prime p. It follows (i) $C = Z(SL_3(\mathbb{Q}_p))$ and (ii) $S = SL_3(\mathbb{Q}_p)$.

The decomposition is thus

$$\{1\} \leqslant Z(SL_3(\mathbb{Q}_p)) \leqslant SL_3(\mathbb{Q}_p)$$

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 (i) The decomposition is a special case of a more general result for *all* t.d.l.c.s.c. groups with a compact open subgroup of finite rank



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Remarks

- (i) The decomposition is a special case of a more general result for *all* t.d.l.c.s.c. groups with a compact open subgroup of finite rank i.e. a compact open subgroup for which there there is $r < \infty$ such that every closed subgroup has a dense *r*-generated subgroup.
- (ii) The proof may generalize as it does not use much Lie theory. The current barrier is proving lemma 1 in a more general setting.

Application 2: Surjectively universal groups

Definition

A group *G* is *surjectively universal* for a class of groups C if *G* is in C and every member of C is a quotient of *G*.

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Theorem (Gao, Graev)

There exists a surjectively universal group for the class of non-Archimedean Polish groups.

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Theorem (Gao, Graev)

There exists a surjectively universal group for the class of non-Archimedean Polish groups.

Question (Gao)

Is there a surjectively universal group for the class of t.d.l.c.s.c. groups?



If there is a surjectively universal group for the class of t.d.l.c.s.c. groups,

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If there is a surjectively universal group for the class of t.d.l.c.s.c. groups, then there is a surjectively universal group for \mathscr{E} .



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Proof.

• Suppose *G* is surjectively universal for t.d.l.c.s.c. groups.

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If there is a surjectively universal group for the class of t.d.l.c.s.c. groups, then there is a surjectively universal group for \mathscr{E} .

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- Suppose *G* is surjectively universal for t.d.l.c.s.c. groups.
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- Suppose G is surjectively universal for t.d.l.c.s.c. groups.
- So every elementary group is a quotient of *G*.
- By the minimality of *Res*_𝔅(*G*), every elementary group is a quotient of *G*/*Res*_𝔅(*G*).



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- By the minimality of *Res*_𝔅(*G*), every elementary group is a quotient of *G*/*Res*_𝔅(*G*).
- So $G/Res_{\mathscr{E}}(G)$ is surjectively universal for \mathscr{E} .



Remark

It seems unlikely for there to be a surjectively universal group for $\ensuremath{\mathscr{E}}$.

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Remark

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It seems unlikely for there to be a surjectively universal group for \mathscr{E} . Indeed, such a group implies the rank on elementary groups is bounded below ω_1 . Alternatively, the similar class of elementary amenable groups does not admit a surjectively universal group. (Osin)

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- (ii) Is it possible to build elementary groups of arbitrarily large rank below ω_1 ?
- (iii) What sort of elementary groups appear as closed subgroups of $Aut(T_d)$ with T_d the *d*-regular tree?



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