

Bi-Lipschitz Solutions to the Prescribed Jacobian Inequality in the Plane and Applications to Nonlinear Elasticity

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joint work with Julian Fischer (MPI Leibzig)

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30.9.2014

The Prescribed Jacobian Equation

Let $\Omega \subset \mathbb{R}^n$ be a smooth bounded domain, $f : \overline{\Omega} \rightarrow \mathbb{R}$, $n \geq 2$.

Can we find a map $\phi : \overline{\Omega} \rightarrow \mathbb{R}^n$ satisfying

$$\begin{cases} \det \nabla \phi = f & \text{in } \Omega \\ \phi = \text{id} & \text{on } \partial\Omega? \end{cases} \quad (1)$$

Obvious necessary condition:

$$\int_{\Omega} f = |\Omega|.$$

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The Prescribed Jacobian Equation: Existence Theory

Existence results when f is regular enough (Hölder continuous):

- $f \in C^{r,\alpha}(\overline{\Omega})$, $f > 0$, $r \geq 0$, $0 < \alpha < 1$
- \Rightarrow Existence of $\phi \in C^{r+1,\alpha}(\overline{\Omega}; \overline{\Omega})$ satisfying (1): Dacorogna-Moser '90, also Rivière-Ye '96 and Carlier-Dacorogna '13.
- $f \in W^{m,p}(\Omega)$, $\inf f > 0$, with $m \geq 1$ and $p > \max\{1, n/m\}$
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- $f \in C^{r,\alpha}(\overline{\Omega})$, no sign hypothesis on f , $r \geq 1$, $0 \leq \alpha \leq 1$
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- Monge-Ampère theory: $f \in C^0 \Rightarrow, f > 0 \exists u \in \cap_{p < \infty} W_{loc}^{2,p}$ with $\det \nabla^2 u = f$ (Caffarelli)
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- Natural necessary condition:

$$\int_{\Omega} f < |\Omega|.$$

- Note that if $\int_{\Omega} f = |\Omega|$ then (2) reduced to (1).

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The Prescribed Jacobian Inequality: Existence of Solutions

Theorem (J. Fischer and K. '14: the L^∞ case)

Assume

- $\Omega \subset \mathbb{R}^2$ *connected bounded and smooth*
- $f \in L^\infty(\Omega)$, $f \geq 0$, $\int_\Omega f < |\Omega|$.

Then there exists $\phi : \bar{\Omega} \rightarrow \bar{\Omega}$ **bi-Lipschitz** satisfying (2). Moreover the regularity is **sharp** in general.

- The case $f \in C^0$ is trivial: by convolution find $\tilde{f} \in C^\infty(\bar{\Omega})$ with $\tilde{f} \geq f$ and $\int_\Omega \tilde{f} = |\Omega|$ then apply one of the previous mentioned results.
- When $f \in L^\infty$ not longer easy: take $f = 2\chi_A$ where $A \subset \Omega$ is open and dense with $|A|$ small enough. Then there is no \tilde{f} continuous with $\tilde{f} \geq f$ and $\int_\Omega \tilde{f} = |\Omega|$ (if it were the case then $\tilde{f} \geq 2$ in Ω).

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Theorem (J. Fischer and K. '14: the L^p case)

Let $\Omega \subset \mathbb{R}^2$ connected bounded and smooth open set. Then there exists a constant $D > 2$ with the following property:

- for every $p > 2D$*
- for every $f \in L^p(\Omega)$ with $f \geq 0$ and $\int_{\Omega} f < |\Omega|$*

there exists a bi-Sobolev map ϕ with $\phi, \phi^{-1} \in W^{1,p/D}(\Omega; \Omega)$ satisfying (2).

Moreover the constant D is computable.

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Functionals in Nonlinear Elasticity

Consider model functionals of the form

$$\mathcal{F}[u] := \int_{\Omega} |\nabla u|^2 + \frac{1}{(\det \nabla u - \mu)_+^{\beta}} dx$$

where $\Omega \subset \mathbb{R}^2$ smooth and bounded, $\beta > 0$ and $\mu \geq 0$.

- Classical functionals: $\mu = 0$ (blow up when $\det \nabla u = 0$)
- However $\mu > 0$ reasonable: in practice compression beyond a certain limit (almost) impossible
- Necessary conditions for minimizers with a Dirichlet condition?

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- Necessary conditions for minimizers with a Dirichlet condition?

Necessary Conditions for Minimizers: $\mu = 0$

$$\mathcal{F}[u] = \int_{\Omega} |\nabla u|^2 + \frac{1}{(\det \nabla u)_+^{\beta}} dx$$

- Equilibrium equation

$$\int_{\Omega} (2\nabla \xi(u) \nabla u) : \nabla u - \beta \cdot \frac{1}{(\det \nabla u)_+^{\beta}} \operatorname{div} \xi(u) dx = 0$$

for all $\xi \in C_{cpt}^{\infty}(\Omega)$ (Ball '76/77)

- Derivation by ansatz

$$\liminf_{\varepsilon \rightarrow 0} \frac{\mathcal{F}[(\operatorname{id} + \varepsilon \xi) \circ u] - \mathcal{F}[u]}{\varepsilon} \geq 0$$

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Theorem (J. Fischer and K. '14)

The Equilibrium equation holds: i.e. for all $\xi \in C_{cpt}^{\infty}(\Omega)$.

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- First difficulty: need to show $\det \nabla u \cdot (\det \nabla u - \mu)_+^{-\beta-1} \in L^1(\Omega)$
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Main tool: Bi-Lipschitz Maps Stretching a Measurable Planar Set

Proposition (J. Fischer and K. '14)

For every $\tau > 0$ and for every measurable set $M \subset \Omega \subset \mathbb{R}^2$ (with small enough measure with respect to τ) there exists a bi-Lipschitz map $\phi = \phi_{\tau, M} : \overline{\Omega} \rightarrow \overline{\Omega}$ preserving the boundary pointwise with

$$\det \nabla \phi \geq 1 + \tau \quad \text{a.e. in } M,$$

$$\det \nabla \phi \geq 1 - C\sqrt{|M|}\tau \quad \text{a.e. in } \Omega \setminus M,$$

$$\|\nabla \phi - \text{Id}\|_{L^p(\Omega)} \leq C|M|^{1/(2p)}\tau \quad \text{for } 1 \leq p \leq \infty,$$

where C is a constant *depending only* on Ω .

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Making use of those stretching maps

- For finding a bi-Lipschitz map ϕ satisfying (for $f \in L^\infty$, $f \geq 0$ and $\int_\Omega f < |\Omega|$)

$$\begin{cases} \det \nabla \phi \geq f & \text{in } \Omega \\ \phi = \text{id} & \text{on } \partial\Omega \end{cases}$$

- first find $\tilde{f} \in C^\infty(\bar{\Omega})$ with $\int_\Omega \tilde{f} = |\Omega|$ and $|\{\tilde{f} < f\}| \ll 1$
- find $\varphi \in C^\infty(\bar{\Omega}; \bar{\Omega})$ satisfying

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- postcompose φ by a map stretching (by a sufficiently big factor τ) the set $\varphi(\{\tilde{f} < f\})$.

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- basic idea: stretch the superlevel sets of f
- more precisely: by induction construct the map ϕ_i stretching the set $\phi_{i-1} \circ \dots \circ \phi_1(\{f \geq 2^i\})$ by a factor of 2
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Construction of the Stretching Maps

- **Simplification: with no loss of generality we can assume**
 - $\Omega = (0, 1)^2$
 - the set M is compact
 - ϕ presearves the boundary globally (and not pointwise)
- make use of Alberti-Csörnyei-Preiss covering: any compact set $M \subset (0, 1)^2$ can be covered with by horizontal and vertical 1-Lipschitz strips with:
 - Total area of strips $\leq C\sqrt{|M|}$
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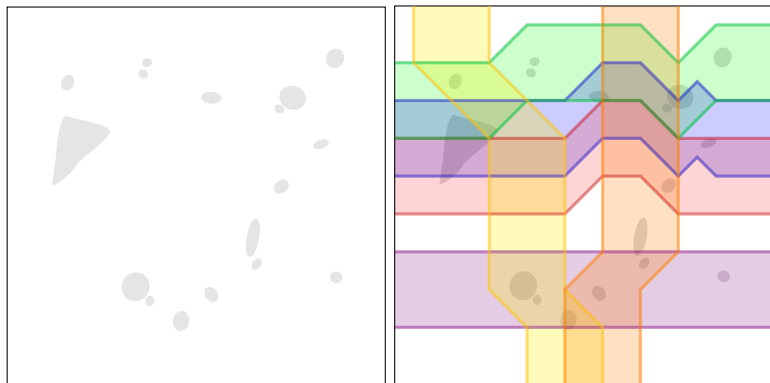
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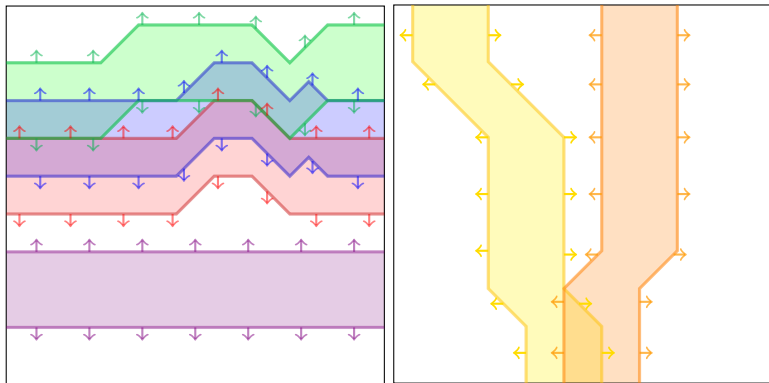
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Thank you for your attention

Literature:

- J.F. and Olivier Kneuss, *Bi-Lipschitz Solutions to the Prescribed Jacobian Inequality in the Plane and Applications to Nonlinear Elasticity*, submitted, 2014